

Client Name: _____

Account Number: _____

To be classified as a professional client you must fulfil at least **TWO** of the following **THREE** criteria set by the FCA. Please tick all that apply to you. We will require you to provide supporting documents as evidence.

Trading Experience

- I have traded leveraged products (CFDs / Spread bets / Forex) in significant size an average of at least 10 times in each of the last 4 quarters. Please provide supporting evidence if not fulfilled with Fortrade. (Trade statement from another broker / financial institution)

Investment Portfolio

- I have an investment portfolio exceeding 500,000 EUR (including derivatives, shares, debt instruments, and cash deposits) Please provide supporting evidence. (Bank, broker or investment portfolio statement or a letter from a notary public confirming net worth)

Financial Sector Experience

- I work or have worked in the financial sector for at least 1 year in a professional position which has provided me with knowledge or experience of CFDs or Forex. Please complete the following and provide supporting evidence. (FCA register screenshot, payslip or letter from employer):

Name of employer: _____

Position held: _____

Length of employment: _____

How has this position provided you with knowledge or experience of CFDs / Forex?

Client signature _____

Date _____

Please send this form along with supporting documents to professionalsupport@fortrade.com. Your application will then be reviewed and we will contact you by email either approving or rejecting your application.

Risk Warning and Disclaimer

CFDs are complex instruments and come with a high risk of losing money rapidly due to leverage. 73% of retail investor accounts lose money with this provider. You should consider whether you understand how CFDs work and whether you can afford to take the high risk of losing your money. Be Aware: You can lose all, but not more than the balance of your Trading Account. These products may not be suitable for all clients therefore ensure you understand the risks and seek independent advice. This material does not constitute an offer of, or solicitation for, a transaction in any financial instrument. Fortrade accepts no responsibility for any use that may be made of the information and for any consequences that result. No representation or warranty is given as to the accuracy or completeness of this information, consequently any person acting on it does so entirely at their own risk. [See full risk warning](#). Fortrade Ltd. is authorised and regulated in UK by the Financial Conduct Authority (FCA), Firm Reference Number (FRN): 609970. [Click here](#) to see Fortrade on the FCA website.

Loss of Protection Notice

Please note that the following is not a comprehensive list but summarises the protections that you will lose being reclassified as a professional client:

- Your money will no longer be held in a segregated account. Unless we have agreed in writing with you, we will not hold your money in accordance with the Client Money Rules (CASS) but as cash margin instead.
- You may lose the right to the Financial Ombudsman Service, unless you are, for example, a consumer, small business, or individual acting outside of your trade, business, craft, or profession. Although Fortrade operates a 'treating customers fairly' policy and any complaint will be dealt with by an impartial compliance officer, please remember that a free alternative dispute resolution may not be available to you as a professional client.
- Although we ensure that all communication with clients is clear and not misleading, the way in which we may communicate with professional clients (about the firm, our services and products) may be different from the way we communicate with retail clients, in respect of the level of detail and timing of the information.
- When obtaining best execution for retail client orders, the price of the instrument and costs relating to execution are the overriding factors, this may differ for professional clients.
- You will not be subject to the new leverage restrictions, therefore any profits or losses will be magnified.

By signing this form:

- (a) You confirm your request and agree to be reclassified as a professional client for investment purposes should your application be approved.
- (b) You confirm that all information provided by you is true, accurate and complete.
- (c) You have read and understood summaries of the protections that you will lose once you are reclassified as a professional client.
- (d) You confirm that you are fully aware of the consequences of losing such protections and you still wish to be reclassified as a professional client.
- (e) You confirm that you have had sufficient time to consider the implications of reclassification as a professional client. You have separately taken any legal, financial, or other advice that you require in connection with your classification.
- (f) You will inform us of any change that could affect your classification as a professional client and you understand that it is your responsibility to ask us for a higher level of protection when you are unable to properly assess or manage certain investment risks.
- (g) You understand that Fortrade has the right to review your classification at any time and may request additional information as part of the review. If we believe you no longer fulfil the criteria we reserve the right to cancel your professional status, however we will inform you prior to doing so.

Client signature _____

Date _____

Following your request to be treated as a professional client, Fortrade will assess you on both quantitative and qualitative grounds and if we are satisfied, we are permitted to reclassify you as a professional client for the purposes of investing in CFDs. Please be aware that, once we have reclassified you as a professional client, it will be your responsibility to keep us informed about any changes to your circumstances which could affect your classification. You have the right to request a different classification at any time.

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